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Docket No.: EMCORE 3,0-047  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Provisional Application of:  
Mark Gottfried

Application No.:

Group Art Unit:

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODE

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 12, 2001

Respectfully submitted,

By

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Dated: January 29, 2002

Signature: 

(Kimberly V. Perry)

Docket No.: EMCORE 3.0-047  
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re Patent Application of:  
*Mark Gottfried*

Application No.: 09/976,342

Group Art Unit: 2812

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: METHOD OF MAKING AN ELECTRODECommissioner for Patents  
Washington, DC 20231

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Dated: January 25, 2002

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Substitute for form 1440A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	
		Filing Date	October 12, 2001
		First Named Inventor	Mark Gottfried
		Group Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	EMCORE 3.0-047	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A	5,284,798		Ibuka et al.	02-08-1994	
	B	5,665,639		Sappala et al.	09-09-1997	
	C	5,767,008		Haji	06-16-1998	
	D	5,894,763		Ohmuro	11-30-1999	
	E	6,080,098		Huang et al.	05-30-2000	
	F	6,096,572		Nakamura	08-01-2000	
	G	6,169,021		Akram et al.	01-02-2001	
	H	6,261,864		Jung et al.	07-17-2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the month of the Empire must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 15 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b> Application Number Filing Date First Named Inventor Group Art Unit Examiner Name Attorney Docket Number	
Sheet	2	of	2
		October 12, 2001	
		Mark Gottfried	
		EMCORE 3.0-047	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Atty Docket No.: EMCORE 3.0-047

Due Date: October 13, 2001

Title: METHOD OF MAKING AN ELECTRODE

Application No.:

Documents Filed:

Fee Transmittal  
Utility Patent Application Transmittal  
28 pages of specification  
7 sheets of drawings  
Unexecuted Declaration  
Information Disclosure Statement  
PTO-1449 (w/cited references)

1-821 U.S. PTO

09/976342



10/12/01

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